

PTO/SB/01A (08-03)

Approved for use through 06/30/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	Systems and Methods for Computing Performance Parameters of Securities Portfolios	
<p>As the below named inventor(s), I/we declare that:</p> <p>This declaration is directed to:</p> <p><input type="checkbox"/> The attached application, or</p> <p><input checked="" type="checkbox"/> Application No. <u>10/716,391</u>, filed on <u>November 17, 2003</u>,</p> <p><input type="checkbox"/> as amended on _____ (if applicable);</p> <p>I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;</p> <p>I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;</p> <p>I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.</p> <p>All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.</p>		
<p>FULL NAME OF INVENTOR(S)</p> <p>Inventor one: <u>Randolph B. Cohen</u> Signature: <u>R. B. Cohen</u> Citizen of: <u>USA</u></p> <p>Inventor two: <u>Joshua D. Coval</u> Signature: _____ Citizen of: <u>USA</u></p> <p>Inventor three: <u>Lubos Pastor</u> Signature: _____ Citizen of: <u>Slovakia</u></p> <p>Inventor four: _____ Signature: _____ Citizen of: _____</p> <p><input type="checkbox"/> Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.</p>		

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/01A (08-03)

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
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<p>FULL NAME OF INVENTOR(S)</p> <p>Inventor one: <u>Randolph B. Cohen</u></p> <p>Signature: _____ Citizen of: <u>USA</u></p> <p>Inventor two: <u>Joshua Dvoryan</u></p> <p>Signature: _____ Citizen of: <u>USA</u></p> <p>Inventor three: <u>Jubos Pastor</u></p> <p>Signature: _____ Citizen of: <u>Slovakia</u></p> <p>Inventor four: _____</p> <p>Signature: _____ Citizen of: _____</p> <p><input type="checkbox"/> Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.</p>		

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Title of Invention	Systems and Methods for Computing Performance Parameters of Securities Portfolios
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- The attached application, or
 Application No. 10/716,391, filed on November 17, 2003.
 as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Randolph B. Cohen

Signature: _____ Citizen of: USA

Inventor two: Joshua D. Coval

Signature: _____ Citizen of: USA

Inventor three: Luhos Pastor

Signature: Luhos Pastor Citizen of: Slovakia

Inventor four: _____

Signature: _____ Citizen of: _____

Additional Inventors or a legal representative are being named on _____ additional form(s) attached hereto.

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